

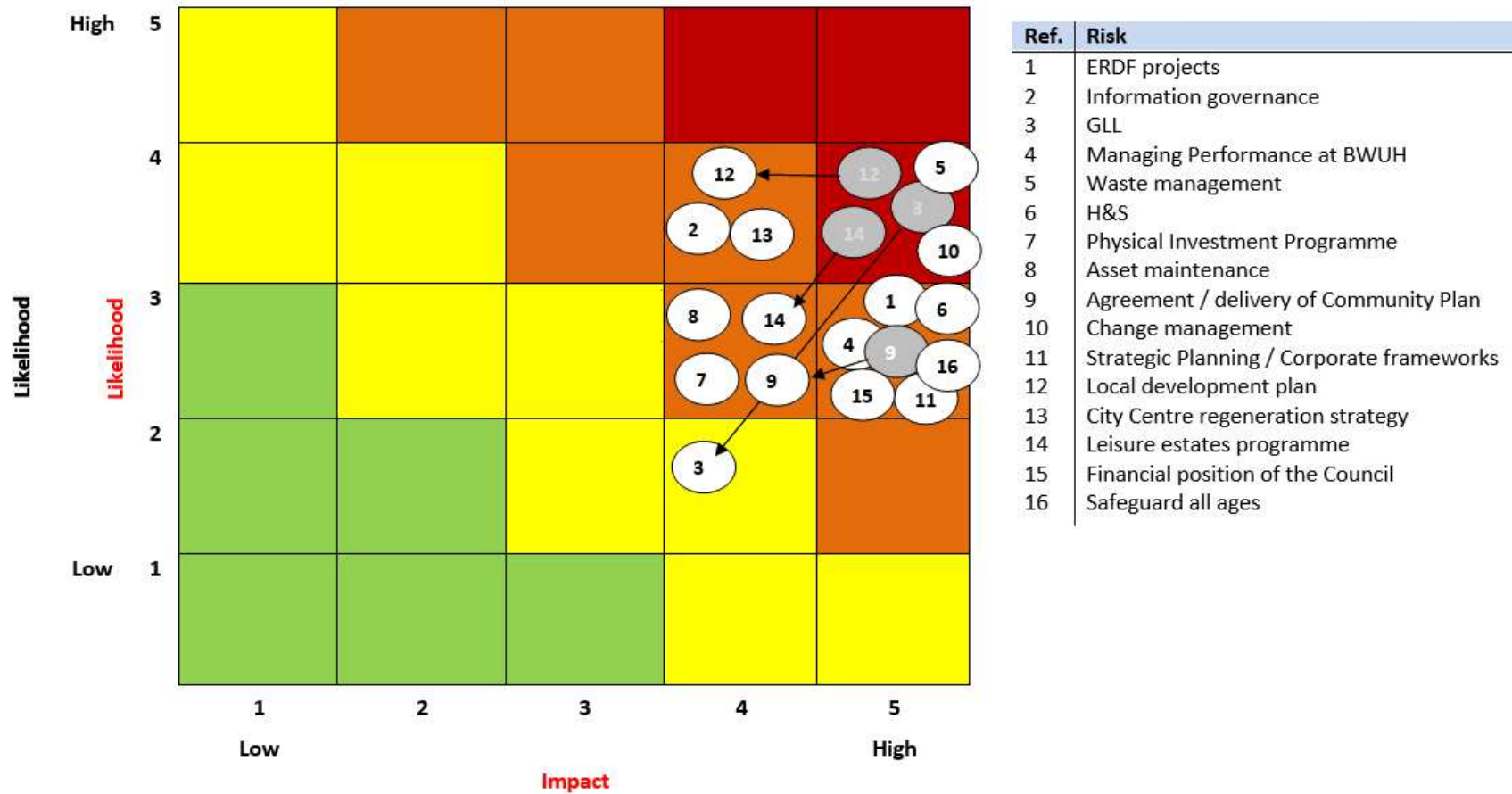


Appendix 1

Corporate Risk Register



Corporate Risk Map – Current Risk Assessment



Risk Assessment Guidance

Likelihood

| | | | | | |
|---|-------------|-------------|-------------|-------------|-------------|
| 5 | Significant | Major | High | Severe | Severe |
| 4 | Moderate | Significant | Major | High | Severe |
| 3 | Low | Moderate | Significant | Major | High |
| 2 | Trivial | Low | Moderate | Significant | Major |
| 1 | Trivial | Trivial | Low | Moderate | Significant |
| | 1 | 2 | 3 | 4 | 5 |
| | Impact | | | | |

| IMPACT | 1. Insignificant | 2. Minor | 3. Moderate | 4. Major | 5. Severe |
|-------------------------------|---|--|---|---|---|
| Objectives / Service Delivery | The risk will not substantively impede the achievement of the objective. | Some impact on objectives resulting in slight but redeemable deviation. | The risk will cause some elements of the objective to be delayed or not be achieved. | The risk will cause several elements of the objective to be delayed or not achieved. | The risk will cause the objective to not be achieved |
| Financial | Minimal financial implications | Minor financial implications (e.g. within agreed year-end budget variance tolerance levels for Departments of 2% underspend and 0.5% overspend) | Moderate financial implications (e.g. within agreed in-year budget variance tolerance levels of 2% underspend and 1% overspend) | Major financial implications (e.g. outside the agreed budget variance tolerance levels by up to 5%) | Severe financial implications (e.g. outside the agreed budget variance tolerance levels by more than 5%) |
| H&S | Minimal injury requiring no/minimal intervention; informal investigation; very low level impact on staffing / service delivery impact; minimal financial loss; potential for rumours / public concern | Minor injury / illness requiring medical intervention and little time off; low level impact on staffing / service delivery; risk of short term loss of public confidence; small financial loss | Moderate injury requiring medical intervention and time off; formal complaint and investigation likely; moderate impact on staff / service delivery; local public / media interest; moderate financial loss | Major injury leading to longer term incapacity / disability; multiple complaints / external agency investigation; major impact on staffing and service delivery; national public / media interest; major financial loss | Major injuries leading to death and irreversible health effects; high profile investigation and enforcement; significant impact on staff and service delivery; sustained national public / media interest; significant financial losses |
| Reputation | Little interest | Some interest possible but likely to be localised and short term | Adverse coverage, probably on a one off basis | Significant adverse coverage, likely to recur on several occasions | Very significant adverse coverage, sustained over a considerable period. |
| LIKELIHOOD | 1. Very Low | 2. Low | 3. Possible | 4. Likely | 5. Almost Certain |
| | <5% | 5% - 20% | 20%-50% | 50%-75% | >75% |
| | Has not occurred before or has happened rarely. | Although unlikely, there is a chance that the risk may materialise. | The outcome is in the balance, and is almost as likely to occur as not | More likely to occur than not occur | Significantly more likely to occur than not occur |